Spasticity in children and young people with non-progressive brain disorders: management of spasticity, co-existing motor disorders and their early musculoskeletal complications

Selective dorsal rhizotomy

| Bibliographic details | Number of Participants Characteristics | Intervention characteristics | Outcome measures and results | Quality assessment | Reviewer comment |
|--|---|---|---|---|--|
| Periodical Developmental Medicine and Child Neurology Authors Steinbok,P., Reiner,A.M., Beauchamp,R., Armstrong,R.W., Cochrane,D.D., Kestle,J. Year of publication 1997 Study location Canada Ref ID 76280 Type of study Randomised controlled study Aim of study Prospective, single-blinded RCT to compare the efficacy of SDR with intensive physiotherapy to intensive physiotherapy alone in improving GMFM at 9 months in children | Inclusion Criteria 1) Age 3–7 years 2) Diagnosis of spastic diplegia CP (with no athetoid or ataxic component). 3) Spasticity severe enough to impair gross motor function. 4) Ability to sit on the edge of an examining table with arms in the air and able to stand up while holding on with hands. 5) Availability of sufficient PT services in child's home community 6) SDR considered appropriate for the child 7) Parental consent to randomisation of treatment Exclusion Criteria 1) Other neuromuscular problem. 2) Planned surgical procedure during the period of the study. 3) The child's problems were of such severity that a 9-month delay in performing a | Comparison SDR + intensive therapy vs intensive therapy only Included in analysis: SDR+PT n = 14 PT only n = 14 SDR Operation performed within 1 month of assignment to treatment Partial rhizotomies from L2 to S2 performed via laminotomies from L1 to S1 Each posterior root was split into 3-6 rootlets and rootlets were stimulated within 4cm of the root exit foramen with 2 unipolar electrodes Responses to electrical stimulation determined which rootlets to cut to achieve predetermined desired effect. The general plan was to cut no more that 50% of S2 (to avoid bladder dysfunction) 40-50% | Primary outcome: Total score of GMFM Secondary outcome: Spasticity—Ashworth scale, muscle strength, range of motion, physiological cost index, Peabody fine motor scale, self-care assessment score and ambulatory status Follow-up: 9 months with comparison to baseline assessments Mean difference in GMFM dimensions at 9m (positive value in favour of SDR + Therapy group) Lying/rolling: -0.2 Sitting: 15 Crawl/kneel: -7.5 Standing: 2.3 Walk/run/jump: 6 | Appropriate randomisation method: treatments assigned by random number table, by independent party not involved with patient care) Allocation concealment adequate: Yes Sample size calculation: 5.1% improvement in GMFM with 90% power at α = 0.05 (estimated be reference to a previous study) Analysis: By treatment received Groups comparable at baseline: yes Participants blinded to treatment allocation: no Caregivers blinded to treatment allocation: yes Length of follow up similar for each group: yes No of participants not completing treatment (by group): SDR + Therapy group n=1 Therapy only n=1 (both dropped out after randomisation) | Funding: Grants from British Columbia Healrh Care Research Foundation Consent: details not provided Ethical approval: Ethics Committee of the University of British Columbia |

compromise health

Baseline characteristics

Mean age (range) SDR + Therapy: 4.2 y

(2.9-6.3);

Therapy only: 3.9 y (2.9-6.4)

Male % not reported

No significant differences for GMFM, Ashworth scale, muscle strength, range of motion, physiological cost index, Peabody fine motor scale, self-care assessment score and ambulatory status at baseline of L4 (to avoid excessive quadriceps hypotonia) and 50-79% of L2, L3 L5 and S1. Actual percentage of dorsal root tissue transacted: 40% for S2 42% for L4 58% for L2, L3, L5 and S1 combined Postoperative management standardised: gradual mobilisation after 48 hours bed rest, discharge on 6th postop day.Intesive

physiotherapy received at

Therapy

home

Therapy group started therapy within one month of assignment to treatment group and received the same amount and type of physiotherapy as the SDR + therapy group

Children in both groups received:
9-month sequence for PT:
1) 3 hrs times per week for 3 months
2) 2 hrs times per week for 6 months

All children wore leotards for sessions to obscure SDR surgical incisions from the therapist Therapy consisted of passive Ashworth scale mean score reduction
Hip
SDR+Therapy: -1.4 (0.6)

Therapy alone: -0.3 (0.6)

p<0.001

Knee

SDR+Therapy : -1.1 (0.5) Therapy alone : -0.1 (0.7)

not given

Ankle

SDR+Therapy : -1.5 (0.6) Therapy alone : 0.0 (0.8)

not given

Range of motion (° diff)

Hip

SDR+Therapy : 15.8 (10.6) Therapy alone :-3.3 (8.6) p<0.001

Knee

SDR+Therapy: 15.6 (15.6) Therapy alone: -2.1 (10.9)

not given

Ankle

SDR+Therapy: 18.0 (5.9) Therapy alone: 17.5 (14.1)

not given

Self-care assessment score SDR+Therapy: 10.5

Therapy alone: 11.5

p = 0.78

Outcome assessors blinded to treatment: yes Outcome assessment methods valid: yes Investigators blinded to treatment allocation: unclear

investigators

| ive dorsal mizotomy | | 01/02/2012 14.20.20 |
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| ROM of the lower limb joints; strenthening to hip abductors and extensors, knee extensors and ankle dorsiflexors; for 40 mins of each hour long session, practice of normal patterns of movement based on neurodevelopmental theory. Physiotherapists were instructed to place as much emphasis on weightbearing as if the child had undergone SDR, in the sessions for children in both groups. | Ambulation status improvement SDR+Therapy: 50% (5/10) Therapy alone: 0% (0/11) Adverse events SDR+PT: Back pain (7%), urinary (7%), postoperative infection (7%) Therapy group: No complications | |
| Mean amount of therapy received over 9m study period (range) SDR + Therapy group = 81.8 (72 to 90 hours) Therapy only group = 81.3 hours (70 to 89 hours) Caregivers were advised no to stitue additional treatments for the children during the study period - this was monitored by the | | |

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| Bibliographic details | Number of Participants Characteristics | Intervention characteristics | Outcome measures and results | Quality assessment | Reviewer comment |
| Periodical | Inclusion Criteria | Not reported | SDR Adverse Effects | | |
| Pediatric Neurosurgery | Total population N = 250 | | Postoperative urinary | | |
| Authors | children who underwent SDR | | retention (requiring | | |
| Abbott,R. | at New York University | | intermittent catheterisation) | | |
| Abbott, K. | Medical Centre from 1986 - | | = 13/250 (5.2%) | | |
| Year of publication | 1992 (approx) | | Catheterisation required | | |
| 1992 | Exclusion Criteria | | 18m post op = 1/250 (0.4%) | | |
| Study location | Not stated | | Postoperative ileus | | |
| Study location | Not stated | | (requiring 48H of NG | | |
| Ref ID | Baseline characteristics | | suctioning) = 3/250 (1.2%) | | |
| 96090 | Not stated | | Loss of muscle range | | |
| Type of study | | | (requiring tendonotomy) = | | |
| Non-comparative study | | | 8/250 (3.2%) | | |
| Non-comparative study | | | Progressive hip dislocation | | |
| Aim of study | | | (requiring varus derotation | | |
| To review 10 years | | | osteotomies of femur) = | | |
| experience of SDR with an | | | 6/250 (2.4%) (all crawlers | | |
| emphasis on surgical outome | | | pre-op who walked post -op) | | |
| concentrated on | | | | | |
| improvements in functional | | | | | |
| ability and adverse effects | | | | | |

| Bibliographic details | Number of Participants Characteristics | Intervention characteristics | Outcome measures and results | Quality assessment | Reviewer comment |
|--|---|--|---|---|------------------|
| Periodical Journal of Neurosurgery Authors Engsberg, J.R., Ross, S.A., Collins, D.R., Park, T.S. Year of publication 2006 Study location Ref ID 75889 Type of study Aim of study | Inclusion Criteria Diagnosis of spastic diplegic CP GMFCS classification Levels I to III The ability to walk (with or without orthoses, including crutches and canes) A minimum level of cognitive skills for active participation No surgical intervention within the preceding year Hypertonicity of the lower extremity measured with the modified Ashworth scale Ankle clonus Exaggerated deep tendon reflex in the legs Babinski sign Abnormal postures while sitting, standing, and walking Ability to perform barefoot walking for approximately 8 minutes for six to eight repetitions Exclusion Criteria Less than six months since any casting procedures or injections of botulinum toxin serotype A Age under 4 years (for reasons of cooperation with assessments) Children who had motor deficits resulting from | SDR intervention Needle electrodes were placed bilaterally in six major muscles of the lower extremity in preparation for intraoperative EMG examinations. A single-level laminectomy was performed at the L-1 vertebra. The L-1 spinal dorsal nerve roots were identified at the foraminal exit and separated from the ventral root. Next, individual dorsal roots were identified at the level of the cauda equina. Each root was then subdivided into four to seven smaller rootlets, and these rootlets were individually suspended over rhizotomy probes. Electrical stimulation was used to grade a reflex response from the lower-extremity muscles. Rootlets were then cut according to the response. This procedure was repeated on the remaining L-2 through S-2 dorsal roots, and the entire procedure was repeated on the contralateral side. The number of rootlets that were cut varied depending on the EMG response. Approximately 65% of the rootlets were cut. | ankle DF at initial contact Preop = -5 ± 7 Postop (8 mos) = -4 ± 6 Postop (20 mos) = -4 ± 6 Postop (20 mos) = -4 ± 6 ankle DF/PF ROM Preop = 15 ± 8 Postop (8 mos) = 16 ± 6 Postop (20 mos) = 16 ± 4 knee flex at initial contact Preop = 32 ± 12 Postop (8 mos) = 28 ± 11 Postop (20 mos) = 28 ± 12 knee flex/ext ROM‡ Preop = 44 ± 13 Postop (8 mos) = 49 ± 12 Post-PT (20 mos) = 52 ± 13§ hip flex/ext ROM‡ Preop = 43 ± 7 Postop (8 mos) = 46 ± 7 Postop (20 mos) = 46 ± 8 pelvic tilt ROM‡ Preop = 8 ± 3 Postop (8 mos) = 7 ± 3 Postop (20 mos) = 6 ± 3‡§ pelvis rotation ROM Preop = 19 ± 7 Postop (8 mos) = 17 ± 6 Postop (20 mos) = 18 ± 4§ | Prospective Cross-sectional or longitudinal : longitudinal Design : observational Randomised : No Allocation concealment: no allocation concealment Similar prognosis at baseline : yes Blinded subjects : no Blinded therapists : no Blinded assessors : no >85% follow up : no ITT analysis : yes | |

neurological injury or illness that began after the 1st month of life Children with malformations of the central nervous system Moderate to severe dystonia, athetosis, ataxia, or severe cognitive delay Children whose parents reported that they were unable to follow simple commands and understand concepts such as "push as hard as you can" and "relax your muscles."

Baseline characteristics n=77 children with spast

n=77 children with spastic diplegic CP were included, n=68 in final cohort

SDR-PT group n=37 children included (mean \pm SD, 9 \pm 5.3 years of age) 6 children dropped out: no SDR after initial testing (3),lack of cooperation (1), no contact after the initial visit (1), because of the distance between the research site and the participant's home (1) 31 children remained in the study Age (yrs) mean \pm SD = 9.0 \pm 5.3 Male = 15 Weight (kg) mean \pm SD = 30.1 ± 17.8 GMFCS I = 12 GMFCS II = 11 GMFCS III = 8 Independent walking = 25

The SDR-PT group received PT from therapists in their hometowns four times per week for 8 months after discharge. Then treatments were reduced to three times per week for an additional 12 months. The PT-only group received the same number of PT sessions. Treatment in both groups was focussed on the trunk and lower extremities, on strengthening, and on functional activities. Billing data were used to confirm that both groups received the similar amounts of therapy.

trunk rotation ROM Preop = 15 ± 9 Postop (8 mos) = 11 ± 5 Postop (20 mos) = 12 ± 7

ext foot progression angle‡ Preop = -3 ± 18 Postop (8 mos) = -7 ± 15 Postop (20 mos) = -9 ± 15

Gait speed (cm/sec)‡ Preop = 81 ± 22 Postop (8 mos) = 91 ± 25 Postop (20 mos) = 101 ± 24 §

GMFM (%) Preop = 87 ± 10 Postop (8 mos) = 88 ± 9 Postop (20 mos) = 92 ± 8§

PT-Only Group (36 children)

ankle DF at initial contact Pre-PT -3 ± 7 Post-PT (8 mos) = -3 ± 7 Post-PT (20 mos) = -2 ± 6

ankle DF/PF ROM Pre-PT = 17 ± 7 Post-PT (8 mos) = 17 ± 6 Post-PT (20 mos) = 19 ± 7

knee flex at initial contact Pre-PT = 29 ± 8 Post-PT (8 mos) = 28 ± 9 Post-PT (20 mos) = 30 ± 8

knee flex/ext ROM‡

| N1 | de device to well = 6 | Dro DT - 45 + 12 | |
|-------|----------------------------|---------------------------------|--|
| Need | ds device to walk = 6 | Pre-PT = 45 ± 12 | |
| | | Post-PT (8 mos) = 46 ± 13 | |
| PT gr | · | Post-PT (20 mos) = 47 ± 13 | |
| | 0 children included | | |
| | an ± SD, 9.7 ± 4.5 years) | hip flex/ext ROM‡ | |
| 3 chi | ildren dropped out : lack | $Pre-PT = 43 \pm 7$ | |
| of co | poperation (1), shunt | Post-PT (8 mos) = 43 ± 7 | |
| malfe | function (1), severe | Post-PT (20 mos) = 43 ± 7 | |
| | nge in scoliosis after the | | |
| | al visit (1) | pelvic tilt ROM‡ | |
| | hildren remained in the | Pre-PT = 7 ± 3 | |
| study | | Post-PT (8 mos) = 8 ± 3 | |
| | (yrs) mean ± SD = 9.7 ± | Post-PT (20 mos) = 7 ± 3 | |
| 4.5 | (y/3) mean ± 35 = 3.7 ± | 1 030 1 1 (20 11103) - 7 ± 3 | |
| | e = 19 | pelvis rotation ROM | |
| | ght (kg) mean ± SD = 34.5 | Pre-PT = 17 ± 7 | |
| ± 19. | | | |
| | | Post-PT (8 mos) = 18 ± 7 | |
| | FCS I = 12 GMFCS II = 20 | Post-PT (20 mos) = 18 ± 7 | |
| | FCS III = 5 | | |
| | pendent walking = 35 | trunk rotation ROM | |
| Need | ds device to walk = 2 | $Pre-PT = 12 \pm 6$ | |
| | | Post-PT (8 mos) = 12 ± 6 | |
| | lisablility group | Post-PT (20 mos) = 12 ± 6 | |
| Data | from 40 participants | | |
| with | no disability were also | ext foot progression angle‡ | |
| colle | ected but are not | Pre-PT = −7 ± 13 | |
| relev | vant to this review . | Post-PT (8 mos) = -8 ± 12 | |
| | | Post-PT (20 mos) = -5 ± 11 | |
| | | , | |
| | | Gait speed (cm/sec)‡ | |
| | | Pre-PT = 91 ± 26 | |
| | | Post-PT (8 mos) = 90 ± 22 | |
| | | Post-PT (20 mos) = 93 ± 22 | |
| | | . 332 . 1 (20 11103) 33 2 22 | |
| | | GMFM (%) | |
| | | Pre-PT = 89 ± 7 | |
| | | | |
| | | Post-PT (8 mos) = 90 ± 7 | |
| | | Post-PT (20 mos) = 91 ± 7 § | |
| | | | |

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| | ‡ Significantly different preto 20m post-treatment change compared with that found for the PT group (p < 0.05). § Significantly different from pretreatment or initial visit (p < 0.05). |

| Bibliographic details | Number of Participants Characteristics | Intervention characteristics | Outcome measures and results | Quality assessment | Reviewer comment |
|--|---|--|------------------------------|--|--|
| Periodical Developmental Medicine and Child Neurology Authors Wright,F.V., Sheil,E.M., Drake,J.M., Wedge,J.H., Naumann,S. Year of publication 1998 Study location Canada Ref ID 76369 Type of study Randomised controlled study Aim of study To determine whether SDR leads to improved functional outcome after 1 year in children with spastic diplegia compared with a control group receiving the equivalent amount of physiotherapy and occupational therapy. | Inclusion Criteria (1) Diagnosis of CP. (2) Predominant spastic diplegia that interferes with functional tasks such as sitting, standing and walking (3) Ability to walk ≥ 3 m with an assistive device of underarm support (4) Adequate trunk control to allow at least 60 s of independent sitting. (5) Reasonable underlying lower-extremity strength (minimum grade 3 at hip and knees) Exclusion Criteria (1) Major fixed contractures of lower extremity ie >30 degrees at hips and knees. (2) Major previous orthopaedic surgery eg rectus femoris transfers Baseline characteristics 31/100 children attending a rhizotomy clinic were eligible for inclusion in the study 7/31 declined to participate as families wanted the rhizothomy procedure to start as early as possible Therefore total N=24 All had spastic diplegia that interfered with functional tasks such as sitting, standing or walking and the spasticity | Comparison: SDR + Therapy vs Therapy only SDR + therapy group: n = 12 Therapy only: n = 12 SDR: Performed under general anaesthesia No neuromuscular blocking agents used Urinary catheter inserted after anaesthesia EMG acticity recorded using surface electrodes over the quadriceps, hamstrings, anterior tibial and gastrocnemius muscles A partial laminectomy of L2 to L5 was performed and the posterior roots of L2 to S2 were isolated and confirmed as being sensory. The roots were subdivided along natural planes into between 2 and 6 rootlets which were tested in sequence for their threshold to constant current stimulation at 50Hz. Those rootlets with the lowest threshold were divided (on average 50% of each dorsal root was transected). All procedures were performed by the same | (3.1) | Randomisation method: Appropriate Sample size calculation: Not given Analysis: Intention to treat Loss to follow-up: 0% Blinding: None (in effect) Appropriate randomisation method: Yes, blocking by age was performed prior to randomisation (<6 yrs and ≥ 6 yrs) then assignment of values from a uniform distribution on the interval (0, 1). Allocation concealment adequate: Yes Groups comparable at baseline: Yes for age and sex. Participants blinded to treatment allocation: No Caregivers blinded to treatment allocation: Yes (but could distinguish treatment groups) Length of follow up similar for each group: Yes No of participants not completing treatment (by group): None Outcome assessment methods valid: Yes Investigators blinded to treatment allocation: Yes (but | Funding: the Easter Seal Research Institute of Canada and the United Cerebral Palsy Resarch and Education Consent: Informed consent obtained from parents Ethical approval: Not stated |

was considered to be a major limiting factor to gross motor progress.

4/24 also had upper extramity spasticity that was strongly evidence during functional activities.

Sex: Female = 10, Male = 14 Mean age at enrollment = 58.0 months ± SD 12.7 months

Age range at enrollment = 41 - 91 months

Baseline physiotherapy and biomechanical assessments were conducted. In the SDR group, these were conducted no more than 3 wks before surgery was performed and follow up was conducted from the day of surgery for 1 year. In the therapy only group, follow up for 1 year started on the baseline assessments completion.

No significant differences between the groups for mean age (SDR+therapy group = 57.8m vs Therapy only group 58.3), for sex ratio in each group (Male -58% in both groups) neurosurgeon
Postoperative analgesia was
IV morphine (typically
30µg/kg/hr) usually for 3 or 4
days.
Patients were nursed in bed
during this time and were
turned every 4 hours.
Physiotherapy to amintain
ROM was started on the
second or third postoperative

day.

Therapy programs
Each child's local
physiotherapist and
occupational therapist
developed a list of
pre-randomisation therapy
goals and the behaviours that
would indicate goal
accomplichment for the next
3-6 months

These treatment goals were followed by the therapy only group in 2 hour-long sessions/wk (c120mins/wk) and focussed on ROM, strengthening through functional activities, facilitation of normal movement patterns and postural control, standing and gait-related activities and work on fine motor skills and functional abilities. The physiotherapist generally concentrated on lower limb,

Sit @ 6m SDR + Therapy group = 87.9 (15.1) Therapy only group = 85.6 (17.9)

Sit @ 12m SDR + Therapy group = 87.7 (15.2) Therapy only group = 87.9 (15.8)

Crawl/kneel @ baseline SDR + Therapy group = 62.9 (26.9) Therapy only group = 71.1 (19.4)

Crawl/kneel @ 6m SDR + Therapy group = 68.4 (24.0) Therapy only group = 76.3 (15.8)

Crawl/kneel @ 12m SDR + Therapy group = 77.3 (19.2) Therapy only group = 76.9 (10.4)

Stand @ baseline SDR + Therapy group = 21.8 (15.9) Therapy only group = 19.6 (17.2)

Stand @ 6m SDR + Therapy group = 30.1 (23.4) could distinguish treatment groups)

Limitations : None
Other considerations :None

| whilst the occupational therapist focussed on upper | Therapy only group = 23.7 (12.1) | |
|---|----------------------------------|--|
| limb and functional skills. | , | |
| | Stand @ 12m | |
| Children in the SDR and | SDR + Therapy group = 33.1 | |
| therapy group were given a | (23.5) | |
| new set of short term goals | Therapy only group = 27.1 | |
| determined postoperatively | (19.6) | |
| by the hospital | | |
| physiotherapist and | Walk/run/jump @ baseline | |
| occupational therapist | SDR + Therapy group = 10.6 | |
| team. In the initial | (8.2) | |
| post-operative period these | Therapy only group = 13.2 | |
| were consistent for all | (14.2) | |
| children as they were based | | |
| on local post-SDR | Walk/run/jump @ 6m | |
| rehabilitation guidelines. For | SDR + Therapy group = 14.8 | |
| the remainder of their 6 | (7.8) | |
| week in-patient stay the | Therapy only group = 14.5 | |
| same physiotherapist and | (15.4) | |
| occupational | | |
| therapist treated all 12 | Walk/run/jump @ 12m | |
| children for 45 mins of | SDR + Therapy group = 23.4 | |
| physiotherapy each day and | (19.5) | |
| for 45 mins of occupational | Therapy only group = 15.7 | |
| therapy twice/wk. The focus | (17.1) | |
| initially was on ROM and | | |
| positioning, upper and | Total score @ baseline | |
| lower extremity | SDR + Therapy group = 51.9 | |
| strengthening, particularly | (13.4) | |
| of the trunk musculature, | Therapy only group = 56.5 | |
| hip extensors and abductors | (12.2) | |
| and knee extensors via work | | |
| on isolated movements and | Total score @ 6m | |
| facilitation of more normal | SDR + Therapy group = 58.7 | |
| movement patterns and | (13.5) | |
| postural control. Standing | Therapy only group = 58.5 | |
| and gait related activities | (10.7) | |
| and work on fine motor | | |

| skills and cuntional activities | Total score @ 12m | |
|---------------------------------|------------------------------|--|
| were gradually introduced | SDR + Therapy group = 64.0 | |
| as the child's strength and | (13.2) | |
| control improved. On | Therapy only group = 60.9 | |
| transfer to outpatient care, | (12.5) | |
| the child's regular | | |
| community therapists were | Secondary outcomes | |
| sent specific treatment | Tone using modified | |
| guidelines and set individual | Ashworth | |
| treatment goals for the | Active ROM lower limb | |
| remainder of the child's | Passive ROM lower limb | |
| study year with therapy | Distance walked in 60 secs | |
| frequency set at 2 hour-long | using the child's usual gait | |
| sessions/wk (c120mins/wk) | device | |
| | scoring for the foot–floor | |
| | contact pattern | |
| | ankle-stretch reflex | |
| | isometric contractions | |
| | video gait analysis | |
| | Modified Ashworth @ | |
| | elbow baseline | |
| | SDR + Therapy group = 4.0 | |
| | (1.3) | |
| | Therapy only group = 5.0 | |
| | (0.5) | |
| | , , | |
| | Modified Ashworth @ | |
| | elbow 6m | |
| | SDR + Therapy group = 4.0 | |
| | (0.7) | |
| | Therapy only group = 4.0 | |
| | (0.6) | |
| | | |
| | Modified Ashworth @ | |
| | elbow 12m | |
| | SDR + Therapy group = 4.0 | |
| | (1.2) | |
| | Therapy only group = 4.0 | |

| (0.6) | |
|---|--|
| Modified Ashworth @ knee baseline SDR + Therapy group = 5.0 (1.2) Therapy only group = 5.0 (0.7) | |
| Modified Ashworth @ knee 6m SDR + Therapy group = 4.0 (0.9) Therapy only group = 5.0 (0.6) | |
| Modified Ashworth @ knee 12m SDR + Therapy group = 4.0 (0.7) Therapy only group = 5.0 (0.7) | |
| Modified Ashworth @ ankle baseline SDR + Therapy group = 5.0 (0.7) Therapy only group = 6.0 (0.4) | |
| Modified Ashworth @ ankle 6m SDR + Therapy group = 4.0 (0.7) Therapy only group = 6.0 (0.4) | |
| Modified Ashworth @ ankle 12m | |

| SDR + Therapy group = 4.5 (0.7) Therapy only group = 6.0 (0.4) |
|---|
| Active ROM hip extension @ baseline SDR + Therapy group = -22.5 (25.3) Therapy only group =-44.2 (31.3) |
| Active ROM hip extension @ 6m SDR + Therapy group = -26.5 (20.0) Therapy only group = -28.6 (15.3) |
| Active ROM hip extension @ 12m SDR + Therapy group = -20.3 (18.7) Therapy only group = -38.3 (27.9) |
| Active ROM knee extension @ baseline SDR + Therapy group = -26.7 (18.7) Therapy only group = -32.5 (17.4) |
| Active ROM knee extension @ 6m SDR + Therapy group = -10.2 (10.9) Therapy only group = -28.6 (15.3) |

| Active ROM knee extens @ 12m SDR + Therapy group = - (15.4) Therapy only group = - 24.3 (14.9) Active ROM ankle dorsiflexion @ baseline SDR + Therapy group = -25.8 (18.1) Therapy only group = -27.9 (21.4) Active ROM ankle dorsiflexion @ 6m SDR + Therapy group = -13.0 (19.9) Therapy only group = | |
|---|--|
| -32.7 (20.1) Active ROM ankle dorsiflexion @ 12m SDR + Therapy group = -6.3 (10.3) Therapy only group = -35.4 (19.9) Passive ROM hip extension @ baseline SDR + Therapy group = -15.0 (10.2) Therapy only group = -20.4 (12.7) Passive ROM hip extension @ 6m SDR + Therapy group = | |

| -7.7 (9.1) Therapy only group = -18.6 (7.7) | |
|--|--|
| Passive ROM hip extension @ 12m SDR + Therapy group = -7.5 (9.9) Therapy only group = -12.9 (12.7) | |
| Passive ROM knee extension @ baseline SDR + Therapy group = -12.9 (18.3) Therapy only group = -12.1 (12.7) | |
| Passive ROM knee extension @ 6m SDR + Therapy group = -8.4 (15.9) Therapy only group = -11.1 (11.3) | |
| Passive ROM knee extension @ 12m SDR + Therapy group = -6.5 (12.5) Therapy only group = -8.7 (11.1) | |
| Passive ROM popliteal angle @ baseline SDR + Therapy group = 37.1 (17.5) Therapy only group = 46.7 (14.4) | |

| Passive ROM popliteal angle @ 6m SDR + Therapy group = 32.5 (16.6) Therapy only group = 50.5 (14.7) |
|--|
| Passive ROM popliteal angle @ 12m SDR + Therapy group = 32.5 (19.3) Therapy only group = 46.8 (9.8) |
| Passive ROM ankle dorsiflexion (knee extended) @ baseline SDR + Therapy group = -5.0 (20.2) Therapy only group = -9.6 (17.9) |
| Passive ROM ankle dorsiflexion (knee extended) @ 6m SDR + Therapy group = 6.9 (13.7) Therapy only group = -11.8 (17.6) |
| Passive ROM ankle dorsiflexion (knee extended) @12m SDR + Therapy group = 3.8 (11.5) Therapy only group = -12.0 (16.4) |

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|---|--|---------------------|
| | Timed walk @ baseline SDR + Therapy group = 23.9 (25.9) Therapy only group = 30.1 (25.1) | |
| | Timed walk @ 6m SDR + Therapy group = 28.9 (27.7) Therapy only group = 38.1 (25.9) | |
| | Timed walk @ 12m SDR + Therapy group = 39.8 (32.2) Therapy only group = 26.6 (18.6) | |
| | | |

| Bibliographic details | Number of Participants Characteristics | Intervention characteristics | Outcome measures and results | Quality assessment | Reviewer comment |
|--|--|--|---|--|--|
| Periodical Archives of Physical Medicine and Rehabilitation Authors Buckon, C.E., Thomas, S.S., Piatt, J.H., Jr., Aiona, M.D., Sussman, M.D. Year of publication 2004 Study location USA Ref ID 75792 Type of study Non-randomised controlled study Aim of study To compare the efficacy of selective dorsal rhizotomy versus orthopaedic surgery using multidimensional outcomes measures (National Centre for Medical Rehabilitation Research disablement framework) | Inclusion Criteria Children found by an MDT to be appropriate for SDR or orthopaedic soft tissue procedures. Eligibity for SDR: -aged between 4 and 10 years -predominantly spastic -have good trunk control -history of prematurity -no significant ataxia or athetosis -good lower extremity antigravity strength -no significant scoliosis -ambulatory with or without assistive devices -cooperative -ability to isolate lower extremity movements -lower extremity contracture < 10º Eligibility for orthopaedic surgery: -kinematic dysfunction with evidence of dynamic limitation of motion -spasticity on static examination, which would benefit from muscle and tendon lengthening, release or transfer | Interventions 1. Selective Dorsal Rizhotomy (SDR) (n=18) SDR performed through osteoplastic laminotomy. Posterior nerve roots from L2 to S1 divided into 3–6 rootlets. At L2, 30%–50% of rootlets sectioned without stimulation. Rootlets from L3 to S1 sectioned on basis of electromyographic results after stimulation and presurgical assessment results (mean of 42% of rootlets cut, range 36%–48%). Post-SDR hospitalisation for 1 month. Twice daily PT and once daily OT from day 4 to discharge. PT 2–3 times a week and OT 1–2 times a week for first 6 months, then PT 1–2 times a week to 1 year. 2. Orthopaedic surgery (n=7) Aponeurotomy/tenotomy, between 4 and 7 procedures performed per patient. Patients received post-surgical therapy that was standard for interventions received. Children with soft tissue procedures began PT on days 2 | (p values refer to significant within-group change) GMFM total (change scores) (mean (SD)) a. SDR 6 months: 1.98 (5.22); p=0.13 (NS) 1 year: 3.39 (7.82); p=0.08 (NS) 2 years: 6.32 (8.38); p=0.01 b. Orthopaedic surgery 6 months: 0.96 (4.45); p=0.59 (NS) 1 year: 5.90 (4.89); p=0.02 2 years: 7.51 (8.04); p=0.05 PEDI Functional skills PEDI-self care (change scores) (mean (SD)) - a. SDR 6 months: 3.27 (4.37); p≤0.006 1 year: 6.18 (6.91); p≤0.001 2 years: 11.89 (6.81); p≤0.0001 b. Orthopaedic surgery 6 months: 1.1 (4.82); p≤0.57 (NS) 1 year: 5.5 (5.27); p≤0.03 2 years: 8.17 (6.29); p≤0.02 PEDI-mobility (change scores) (mean (SD)) | The post-surgical physiotherapy care was not standardised between the groups as it was focused to the remedial need, and may have influenced outcome. All outcomes were evaluated by two investigators who were trained in using the scales. Assessors were not blinded to treatment allocation. Study population issues: Ambulatory = 92% | Recruitment period: over 3 years (dates not reported) Follow-up: 2 years No safety data was presented in the study report Conflict of interest/source of funding: no commercial party conferred a benefit on the author. |
| | - | | | | |

Not stated

Baseline characteristics

Total sample size n=25 children

Characteristics

Children with spastic diplegia -Age: SDR group: 71.3 months (mean); orthopaedic surgery group: 78.6 months (mean)

-Sex: 76% (19/25) male -GMFCS (I, II, III):

SDR: 17%, 44%, 39% Orthopaedic surgery: 29%, 14%, 57%

and 3. 5/7 received casting. Discharged on day 5. Casts removed after 2-4 weeks. Readmitted for 2 weeks of PT twice daily and OT (where indicated) once daily. Patients then discharged and received weekly outpatient therapy for 2-4 months.

Comparison

SDR vs. orthopaedic surgery with post-surgical physiotherapy in both groups.

Parents chose the treatment therapy after discussions with clinicians.

b. Orthopaedic surgery 6 months: -1.50 (6.26);

p≤0.55 (NS)

1 year: 1.84 (5.79); p≤0.43

6 months: 1.41 (3.80); p≤013

1 year: 3.73 (7.94); p≤0.06

2 years: 7.51 (7.11); p≤0.001

(NS)

a. SDR

(NS)

(NS)

2 years: 7.34 (7.52); p≤0.04

PEDI-social skills (change scores) (mean (SD))

a. SDR

6 months: 1.22 (5.95); p≤0.39 (NS)

1 year: 3.19 (6.56; p≤0.06

(NS)

2 years: 7.82 (6.63); p≤0.0004

b. Orthopaedic surgery 6 months: 7.41 (5.23); p≤0.01

1 year: 2.59 (3.73); p≤0.12

(NS)

2 years: 7.67 (4.95); p≤0.006

PEDI Caregiver assistance

PEDI-self care (change scores) (mean (SD))

a. SDR

6 months: 2.82 (9.77); p≤0.24

(NS)

| 1 year: 3.07 (10.73); p≤0.22 (NS) 2 years: 10.53 (8.33); p≤0.0002 | |
|--|--|
| b. Orthopaedic surgery 6 months: 0.59 (12.13); p≤0.90 (NS) 1 year: 1.60 (9.66); p≤0.67 (NS) 2 years: 5.50 (5.27); p≤0.033 | |
| PEDI-mobility (change scores) (mean (SD)) | |
| a. SDR 6 months: 0.78 (5.15); p≤0.53 (NS) 1 year: 8.01 (11.97); p≤0.11 2 years: 13.58 (13.76); p≤0.02 | |
| b. Orthopaedic surgery 6 months: 2.59 (8.63); p≤0.46 (NS) 1 year: 4.84 (6.82); p≤0.11 (NS) 2 years: 5.83 (9.64); p≤0.16 (NS) | |
| PEDI-social skills (change scores) (mean (SD)) | |
| a. SDR 6 months: 1.12 (13.56); p≤0.73 (NS) 1 year: 3.07 (10.40); p≤0.23 (NS) 2 years: 7.00 (10.31); p≤0.02 | |
| 2 years. 7.00 (10.31), psu.02 | |

| Spasticity in children and young people with non-progressive brain disorders - Selective dorsal rhizotomy ■ | | | |
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| | b. Orthopaedic surgery 6 months: 1.44 (14.67); 0.80 (NS) 1 year: -3.14 (8.89); p≤0.39 (NS) 2 years: 2.53 (14.59); p≤0.66 (NS) | | |

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|--|--|---|---|---|------------------|
| Periodical Developmental Medicine and Child Neurology Authors McLaughlin,J.F., Bjornson,K.F., Astley,S.J., Graubert,C., Hays,R.M., Roberts,T.S., Price,R., Temkin,N. Year of publication 1998 Study location USA Ref ID 96092 Type of study Randomised controlled study Aim of study To investigate the efficacy and safety of SDR in children with spastic diplegia | Inclusion Criteria 1) Age 3 – 18 years 2) Diagnosis of spastic diplegia. 3) Good prognosis for community or indoor ambulation with conventional treatment. 4) Ability to participate for the duration of study. 5) Availability of sufficient PT services in child's home community. 6) Intellectual function at 36-month-old level or higher. 7) Expressive language: 36-month-old level or higher Exclusion Criteria 1) Other neurological motor abnormalities. 2) Fixed musculoskeletal contractures of more than 15° at hips or knees and 30° at ankles. 3) Other musculoskeletal problems requiring effective conventional intervention. 4) Medical contraindications to a prolonged elective anaesthetic, abnormal spine anatomy, uncontrolled seizure disorder, or other chronic conditions that would compromise either the postoperative course after SDR or the child's participation in an intensive PT program | Comparison: SDR+PT vs PT only SDR+PT: n = 21 PT only: n = 17 SDR One surgeon performed all SDRs Inhalational anaesthesia was used and monitored to avoid suppression of EMG responses Neuromuscular blockers were not used A narrow laminectomy (bone rongeurs) or laminotomy (Anspach lamina cutter) was performed from T12 to S2 Bilateral visual and EMG identification of each ventral (0.2mA) and dorsal (1-20mA) roots Dorsal rootlets giving abnormal repnses subdivided by blunt dissention 4 channel EMG recording unit, clinical inspection and muscle palpation used to detect muscle group responses to rootlet stimulation Postoperative pain managed with IV morphine and IV midazolam for 2-3 days Children hospitalised for 5-7 days Percentage of dorsal root tissue transacted:26% | Primary outcome: Spasticity—spasticity measurement system. Functional mobility—GMFM score Secondary outcome: Spasticity—Ashworth scale and clinical assessment of signs of spasticity. Functional mobility—rating of child's ambulation status Adverse events: A structured adverse event questionnaire was administered to parents either face-to-face or over the telephone every 3 m for 24 m by the investigators. Adverse events were rated for severity and whether they were related to treatment or CP. Changes in spasticity Mean Ashworth scale score reduction @ 6 m (read from graph) SDR+Therapy: -1.0 Therapy alone: -0.15 Mean difference = 0.85 Median Ashworth scale score reduction @ 12 m SDR+Therapy: -0.88 Therapy alone: -0.13 Median difference -1.0 (-1.3 to -0.7) <0.001 | system difference of 10 Nm/rad with 80% power at α = 0.05 (2-sided) Sample size obtained (ie SDR + Therapy vs Therapy alone, n=21 vs n=17) sufficient for 10% difference in GMFM with 90% power and 10 Nm/rad difference in total SMS path with 46% power. Analysis: By treatment Groups comparable at baseline : yes Participants blinded to | |

Baseline characteristics

N = 38 Mean age (range) SDR+PT: 6.1 y (2.9–14.3) PT: 6.8 y (3.0–17.3)

Male Sex% SDR+PT: 52% PT: 55%

No significant difference between groups for -Race -Caregiver's marital status -Socioeconomic status -Insurance coverage -Gestational age -Birthweight -Cause of CP -Ambulatory ability -Cognitive status -Number of children with associated impairments (14%-50%) from L1 to S2

Therapy

Over a 12-month sequence each child within the SDT + therapy or Therapy group only was scheduled to receive:

- 1) 2 hrs per day for 5days/wk for 4 wks performed by experienced therapists for which the families stayed in hospital
- hospital
 2) 1 hr per day, 4–5 days/wk for 5 m prescribed by investigators and performed by community therapists
 3) 1 hr per day, 1–4 days/wk for 6 m prescribed by investigators and performed by community therapists on a voluntary basis

The emphasis and techniques used in the SDR group were appropriate for this group. 20 difference categories of treatment were documented by the treating therapists

Median Ashworth scale score reduction @ 24 m SDR+Therapy: - 0.88 Therapy alone: 0.00 Median difference -1.0 (-1.4 to -0.7) < 0.001

Changes in function

Mean difference in GMFM dimensions at 12m (95% CI) (positive value in favour of SDR + Therapy group) Lying/rolling: -0.8 (-3.5 to 1.8) p=0.53

Sitting: 1.2 (-5.8 to 8.2) p=0.73

Crawl/kneel : -0.1 (-6.8 to 6.6) p=0.98

Standing : 2.6 (-8.4 to 14.0) p=0.63

Walk/run/jump : 0.5 (-6.0 to

7.0) p=0.88

Mean difference in GMFM dimensions at 24m (95% CI) Lying/rolling: -0.1 (-2.2 to 2.1) p=0.97

Sitting: -1.6 (-8.5 to 5.4) p=0.65

Crawl/kneel : -0.3 (-7.0 to 6.4) p= 0.93

Standing: 1.6 (-16.0 to 9.1)

p= 0.59

Walk/run/jump: 1.6 (-8.0 to

11.0) p=0.74

Mean increase in total GMFM score @ 12m

Length of follow up similar for each group: yes
No of participants not completing treatment (by group): SDR + Therapy group n=2, Therapy only n=2 (and 1 child stopped participating after 6 month intensive treatment)
Outcome assessment methods valid: yes
Investigators blinded to

treatment allocation: no

| SDR+Therapy : 4.9% Therapy alone : 4.2% 0.72 | |
|--|--|
| Mean increase in total GMFM score @24 m SDR+Therapy :7.0% Therapy alone :7.2% 0.94 | |
| Ambulation status improvement @ 12 m SDR+Therapy :19% Therapy alone :18% NS | |
| Ambulation status improvement @ 24 mo SDR+Therapy : 38% Therapy alone :18% 0.20 | |
| Adverse events No severe adverse events related to either treatment Back pain SDR+Therapy: 29% Therapy alone: 0% | |
| Lower-extremity pain SDR+Therapy: 48% Therapy alone: 94% | |
| Weakness SDR+Therapy: 19% Therapy alone: 18% | |
| Urinary problem SDR+Therapy: 14% | |

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| | Therapy alone: 0% | |
| | Emotion/behavioural SDR+Therapy: 29% Therapy alone: 35% | |
| | Other (musculoskeletal) SDR+Therapy: 14% Therapy alone: 0% | |
| | Sensory SDR+Therapy: 19% Therapy alone: 0% | |

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|---------------------------------|---|--|---|----------------------------|------------------|
| Periodical | Inclusion Criteria | Surface ENG electrodes were | Average duration of follow up | Case series providing | |
| Childs Nervous System | Selection criteria were | placed on selected muscle | = 4.2 years (range 1-9 years) | non-comparative data. Only | |
| Authors | patients with spastic | groups on both legs. | | outcomes pertaining to | |
| Kim,D.S., Choi,J.U., Yang,K.H., | hemiplegia of | Gastrocnemius was used to | 20/208 (9.6%) patients | specific adverse events | |
| Park,C.I. | cerebrovascular sequelae or | identify S1, the hamstrings for | experienced post-op | related to surgery are | |
| raik,C.i. | spastic quadriparesis | L5, anterior tibialis for L4, | temporary urinary retention | extracted. | |
| Year of publication | resulting from an incomplete | quadriceps for L3 and hip | resolving spontaneously in 18 | Observational study (low) | |
| 2001 | cervical cord who had | adductors for L2. The anal | patients within 4 wks of SDR | | |
| Study location | undergone SDR more that | sphincter muscle was | surgery. 2 patients suffered | | |
| Korea | one year previously to the | monitored for S2. | from long-standing urinary | | |
| | start of the study. | | incontinence because of atonic | | |
| Ref ID | Exclusion Criteria | Laminectomies were | bladder. Post-op urinary | | |
| 96093 | Not stated | performed from L1 to S1 in the | | | |
| Type of study | | first 58 patients (48 children | markedly improved after clean | | |
| Non-randomised controlled | Baseline characteristics | and 10 adults). Subsequently, | intermittant catheterisation | | |
| study | N=208 | laminoplasties from L1 to | for 2 years, however it did not | | |
| - | <u>.</u> | L5 followed by upper sacral | return to normal in one child | | |
| Aim of study | Patients with spastic CP =198 | laminectomies were | after 3 years. | | |
| To review 10years | Patients with hemiplegia | performed in 150 children. At | | | |
| experience of SDR with an | after a cerebrovascular insult | each level the posterior root | A post-op spinal deformity was | | |
| emphasis on surgical | = 8 | was separated into three or | seen in 12/208 (5.8%) patients | | |
| outcomes, concentrating on | Patients with spastic | four rootlets which were each | - radiological only, and not | | |
| the improvement in | quadriparesis after cervical | stimulated and the EMG | functionally important | | |
| functional ability and adverse | cord injury = 2 | pattern recorded on surface | C 1: | | |
| events | Mean age = 5.9 years (range | electrodes. Rootlets' spasticity | Scoliosis was found in 5/58 | | |
| | 2-13 years) | were ranked from grade 0 - 4. | patients undergoing | | |
| | | Those that demonstrated | laminectomy and in 2/150 | | |
| | | gradually decreasing or steady | patients undergoing | | |
| | | squared off electrical | laminoplasty | | |
| | | responses were spared, but | 2/200 patients required | | |
| | | any rootlets ranked higher | 2/208 patients required orthopaedic surgery because | | |
| | | were cut. The testing cutting or sparing procedure was | of progressive hip migration> | | |
| | | repeated on all rootlets from | or brokressive tilb tilikrations | | |
| | | S2 to L2 and was continued at | 208/208 patients experienced | | |
| | | L1 where 50% of the bilateral | post-op back pain which was | | |
| | | LI WHERE 50% OF the bilateral | post op back pain willen was | | |

exercises and were allowed to sit as they tolerated this.